PTO/SB/05 (11-00)

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Approved for use through 10/31/2002. OMB 0651-0032

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## UTILITY **PATENT APPLICATION TRANSMITTAL**

678-657 (P9453) Attorney Docket No. Jong-Kwang Kim First Inventor Apparatus and Method for Controlling Audio...

(Only for new nonprovision	nal applications under 37 CFR 1.53(t	)) Ex	press	Mail Label N	o. EL77	768214	78US	<u>ج</u>
APPLICA	TION ELEMENTS	T		RESS TO:	Assista Box Pa	ant Con atent Ap	nmissi oplicat	oner for Patents on ion
<del></del>	cerning utility patent application conte	ents.		•		ington,		
	orm (e.g., PTO/SB/17) duplicate for fee processing)		7.	CD-ROM or C Computer Pro				e table or
2. Applicant claims s See 37 CFR 1.27	mall entity status.			leotide and/or An	nino Acid			ubmission
Specification	[Total Pages   12   ]		` r	pplicable, all ned Computer R		Form ((	PE\	
3. (preferred arrangement - Descriptive title			a. L	Specification Seq		-	-	
- Cross Reference	e to Related Applications		<b>D</b> . C		ROM or C	•		e). or
	arding Fed sponsored R & D equence listing, a table,			ii. pape		JD 11 (2	ООРІС	, G
	program listing appendix	/	с. Г	Statements		identity	of ab	ove copies
- Brief Summary	of the Invention			CCOMPANY				· · · · · · · · · · · · · · · · · · ·
- Brief Description - Detailed Description	n of the Drawings (if filed)	<b>.</b>						document(s))
- Claim(s)	•		= =	37 CFR 3.73			Г	Power of
- Abstract of the	Disclosure	٦	10.	(when there		• ,	<u> </u>	■ Attorney
4. Drawing(s) (35 U	I.S.C. 113) [Total Sheets 3		111. L	English Trar			nt <i>(if &amp;</i>	applicable) च Copies of IDS
5. Oath or Declaration	[ Total Pages 2	] ]	12.	Information Statement (I			L	Citations
a. Newly exec	uted (original or copy)		13.	Preliminary	Amendm	ent		
b. Copy from a	prior application (37 CFR 1.63 (d)) tion/divisional with Box 18 completed	1)	14.	Return Reco				503)
	ION OF INVENTOR(S)		15.	Certified Co (if foreign pi	py of Priority is a	ority Do daimed	cume )	nt(s)
named in	the prior application, see 37 CFR and 1.33(b).		16.					5 U.S.C. 122 form PTO/SB/35
6. Application Data	Sheet. See 37 CFR 1.76		ا ـ ر	or its equiva	alent.			
			17. L	Other:				
18. If a CONTINUING APPLI or in an Application Data Sho	CATION, check appropriate box, and	i supply t	he requ	isite information	below an	nd in a p	relimi	nary amendment,
Continuation	Divisional Continuation-in-part	(CIP)	o	f prior application No.	·	J		
Prior application information:	Examiner	_		Group Art Unit:				
Box 5b, is considered a part of	IONAL APPS only: The entire disclosur f the disclosure of the accompanying c	ontinuatio	n or divi	isional application	n and is h	ereby in	corpo	is supplied under rated by reference.
The incorporation can only be	relied upon when a portion has been in	advertent	ly omitte	ed from the submi	itted appli	cation p	arts.	
	19. CORRESP	ONDENC	E ADD	RESS				
Customer Number or Bar C	ode Label Seest Spanner Ho, pr.40	lacti ber saa	e tokasi tuo	or [	<b>v</b>	Correspon	dence a	ddress below
Name	Paul J. Farrell		-		_			
	DILWORTH & BARRESE, LI	.P						·= · -
Address	333 Earle Ovington Blvd.							
City	Uniondale	St	ate	New York		Zip C	Code	11553
Country	U.S.A	Teleph	one	(516) 228-8484	4	Fa	ax .	(516) 228-8516
Name (Print/Type)	Paul J. Farrell		Regi	stration No. (At	ttorney!	Agent)	33,49	94
Signature	Garl Faull					Date	5/8/0	)1
	CERTIFICATION UNDER	77 C.F.R	. § 1.10		10			1.11.

I hereby certify that this correspondence and the documents referred to as er as "Express Mail Post Office to Addressee" Mail Label Number EL77682147 D.C. 20231. sistant Commissioner for Patents, Box Patent Application, Washington,

Dated: May 8, 2001

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Patent fees are subject to annual revision.

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Co	omplete if Known
Application Number	
Filing Date	
First Named Inventor	Jong-Kwang Kim
Examiner Name	
Group Art Unit	
Attorney Docket No.	678-657 (P9453)

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)	
1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:	3. ADDITIONAL FEES Large EntitySmall Entity	
Deposit Account 04-1121	Fee Fee Fee Fee Fee Description  Code (\$) Code (\$)	Fee Paid
Number	105 130 205 65 Surcharge - late filing fee or oath	
Deposit Account Name  DILWORTH & BARRESE, LLP	127 50 227 25 Surcharge - late provisional filing fee or cover sheet	
Charge Any Additional Fee Required	139 130 139 130 Non-English specification	
Under 37 CFR 1.16 and 1.17  Applicant claims small entity status.	147 2,520 147 2,520 For filing a request for ex parte reexamination	
See 37 CFR 1.27	112 920* 112 920* Requesting publication of SIR prior to Examiner action	
2. X Payment Enclosed: X Check Credit card Money Other	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action	
Order O	115 110 215 55 Extension for reply within first month	
FEE CALCULATION	116 390 216 195 Extension for reply within second month	
1. BASIC FILING FEE	117 890 217 445 Extension for reply within third month	
Large Entity Small Entity Fee Fee Fee Fee Description	118 1,390 218 695 Extension for reply within fourth month	
Code (\$) Code (\$) Fee Paid	128 1,890 228 945 Extension for reply within fifth month	
101 710 201 355 Utility filing fee \$710.00	119 310 219 155 Notice of Appeal	
106 320 206 160 Design filing fee	120 310 220 155 Filing a brief in support of an appeal	
107 490 207 245 Plant filing fee 1 108 710 208 355 Reissue filing fee	121 270 221 135 Request for oral hearing	
108 710 208 355 Reissue filing fee	138 1,510 138 1,510 Petition to institute a public use proceeding	
	140 110 240 55 Petition to revive - unavoidable	
SUBTOTAL (1) (\$) 710.00	141 1,240 241 620 Petition to revive - unintentional	
2. EXTRA CLAIM FEES	142 1,240 242 620 Utility issue fee (or reissue)	
Fee from Extra Claims below Fee Paid	143 440 243 220 Design issue fee	
Total Claims 6 -20** = 0 X 18 = \$0	144 600 244 300 Plant issue fee 7	
Independent 2 - 3** = 0 x 80 = 0	122 130 122 130 Petitions to the Commissioner	
Multiple Dependent 270 = 0	123 50 123 50 Processing fee under 37 CFR 1.17(q)	
**or number previously paid, if greater, For Reissues, see below	126 240 126 240 Submission of Information Disclosure Stmt	
Large Entity Small Entity Fee Fee Fee Fee Description Code (\$) Code (\$)	581 40 581 40 Recording each patent assignment per property (times number of properties)	\$40
103 18 203 9 Claims in excess of 20	146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	
102 80 202 40 Independent claims in excess of 3 104 270 204 135 Multiple dependent claim, if not paid	149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b))	
109 80 209 40 ** Reissue independent claims	179 710 279 355 Request for Continued Examination (RCE)	
over original patent	169 900 169 900 Request for expedited examination	
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	of a design application	
	Other fee (specify) L	
SUBTOTAL (2) (\$) 0.00	Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 40.0	00

SUBMITTED BY				Complete (if applicable)		
Name (Print/Type)	Paul J. Bargely	Registration No. (Attorney/Agent)	33,494	Telephone	(516) 228-8484	
Signature	mul faull			Date	May 8, 2001	

**CERTIFICATION UNDER 37 C.F.R. § 1.10** 

I hereby certify that this correspondence and the documents referred to as enclosed are being deposited with the United States Postal Service on date below in an envelope as "Express Mail Post Office to Addressee" Mail Pabel Number EL776821478US addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 2023

Dated: May 8, 2001



PATENT Atty. Docket No. <u>678-657 (P9453)</u>

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assistant Commissioner for Patents
Washington, D.C. 20231

## **UTILITY APPLICATION FEE TRANSMITTAL**

Sir:	
Transmitted	herewith for filing is the patent application of
Inventor(s):	Jong-Kwang Kim
For:	APPARATUS AND METHOD FOR CONTROLLING AUDIO OUTPUT IN A MOBILE TERMINAL
Enclosed are	e:
[X] <u>9</u>	page(s) of specification
[X] <u>1</u>	page(s) of Abstract
[X] <u>2</u>	page(s) of claims
[X] <u>3</u>	sheets of drawings [X] formal [] informal
[X]2	page(s) of Declaration and Power of Attorney
[X] An Assig	nment of the invention to Samsung Electronics Co., Ltd.
	CERTIFICATION UNDER 37 C.F.R. § 1.10
Postal Service on thi	his New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States s date May 8, 2001 in an envelope as "Express Mail Post Office to Addressee" Mail Label Number EL776821478US nissioner of Patents and Trademarks, Washington, D.C. 20231.
	Michael J. Musella  Type of print name of person mailing paper)

(Signature of person mailing paper)

	This application claims the benefit under 35 U.S.C. §119(e) of U.S. Provisional Application(s) No(s).:					
	APPLICATION NO	o(S).:	FILING DATE			
		<del></del>				
[X] C	ertified copy of app	lications				
Coun	try	Appln. No.	<u>Filed</u>			
Korea	a	2000/25317	May 12, 2000			
Korea	a	2001/18414	April 6, 2001			

from which priority under Title 35 United States Code, § 119 is claimed

[X] is enclosed (Korean Appln. 2000/25317 is enclosed; Korean Appln. 2001/18414 to follow).

[] will follow.

## **CALCULATION OF UTILITY APPLICATION FEE**

For	Number Filed	Number Extra	Rate	Basic Fee \$710.00
TOTAL CLAIMS	6	0	x 18 =	\$0
INDEPENDENT CLAIMS	2	0	x 80 =	\$0
[] Multiple Dep. Claim	0		270	\$0
TOTAL\$710.00				TAL\$710.00

[] Verified Statement of "Small Entity" Status Under 37 C.F.R. § 1.27. Reduced fees under 37 C.F.R. § 1.9(f) (50% of total) paid herewith §.

<sup>\*</sup>Includes all independent and single dependent claims and all claims referred to in multiple claims. See 37 C.F.R. § 1.75(c).

- [X] The amount of \$40.00 for recording the attached Assignment is enclosed as a separate check.
- [X] Two checks in the amount of \$710.00 and \$40.00 to cover the [X] recording, [X] filing fee(s) are attached.
- [] Charge fee to Deposit Account No. 04-1121. Order No. \_\_\_\_\_
  TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.
- [X] Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. § 1.16 and 1.17, at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. <u>04-1121</u>. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. <u>04-1121</u> therefor. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

Date: May 8, 2001

Paul J. Farrell Reg. No. 33,494

DILWORTH & BARRESE 333 Earle Ovington Blvd. Uniondale, NY 11553 Tel. No. (516) 228-8484 Fax. (516) 228-8516